



IFW

PATENT
Customer No. 22,852
Attorney Docket No. 09367.0057-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
Han-Jie Zhou et al.)	Group Art Unit: 1626
)	
Application No.: 10/553,987)	Examiner: Not yet assigned
)	
Filed: November 9, 2006)	
)	
For: COMPOUNDS, COMPOSITIONS AND METHODS)	Confirmation No.: 8214
)	

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

Applicants enclose a marked-up copy of the Filing Receipt for the above-referenced patent application. Please correct inventor names information for the first and second inventors, as indicated on the marked-up copy and as explained below:

The name of the first inventor is incorrect. Please delete "Xiangping Qian, Foster City, CA" and insert --Han-Jie Zhou, Foster City, CA--. Applicants enclose a copy of the Declaration and Power of Attorney as filed on November 9, 2006.

The name of the second inventor is spelled incorrectly. Please delete "Xianping Qian, Foster City, CA" and insert --Xiangping Qian, Foster City, CA--.

The residence of the third inventor is incorrect. Please delete "Gustave Bergnes, San Francisco, CA" and insert --Gustave Bergnes, Pacifica, CA--.

Applicants enclose an initial Application Data Sheet.

Applicants respectfully request that the Office correct the above error and issue a Corrected Filing Receipt as soon as possible.

If there is any fee due in connection with this Request For Corrected Filing Receipt, please charge the fee to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

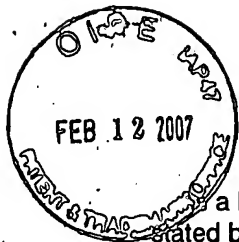
Dated: February 12, 2007

By: _____



Lauren L. Stevens
Registration No. 36,691

Tel: (650) 849-6614
Email: lauren.stevens@finnegan.com



COPY

Customer Number 22,852
Attorney Docket No. 09367.0057-00000

DECLARATION AND POWER OF ATTORNEY

I, a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; I believe I am an original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: COMPOUNDS, COMPOSITIONS AND METHODS, the specification of which was filed on October 20, 2005, as United States Application No. 10/553,987.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment. I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT international application(s) designating at least one country other than the United States, listed below and have also identified below, any foreign application(s) for patent or inventor's certificate, or any PCT International application(s) having a filing date before that of the application(s) of which priority is claimed:

Country	Application Number	Date of Filing	Priority Claimed Under 35 U.S.C. 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Number	Date of Filing
60/470,729	May 14, 2003

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT International application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application(s) and the national or PCT International filing date of this application:

Application Number	Date of Filing	Status (Patented, Pending, Abandoned)
PCT/US2004/014776	May 12, 2004	Completed

I hereby appoint the following attorney and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. **FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., CUSTOMER NUMBER 22,852.**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of First Inventor Han-Jie ZHOU	Inventor's Signature 	Date 10/16/06
Residence Foster City, CA		Citizenship Canadian
Residence 280 East Grand Avenue, South San Francisco, CA 94080		
Full Name of Second Inventor Xianping QIAN	Inventor's Signature	Date
Residence Foster City, CA		Citizenship Chinese
Post Office Address 280 East Grand Avenue, South San Francisco, CA 94080		

Full Name of Third Inventor Gustave BERGNES	Inventor's Signature	Date
Residence 280 East Grand Avenue, South San Francisco, CA 94080	Citizenship U.S.A.	
Post Office Address 280 East Grand Avenue, South San Francisco, CA 94080		



DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; I believe I am an original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: COMPOUNDS, COMPOSITIONS AND METHODS, the specification of which was filed on October 20, 2005, as United States Application No. 10/553,987.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment. I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT international application(s) designating at least one country other than the United States, listed below and have also identified below, any foreign application(s) for patent or inventor's certificate, or any PCT International application(s) having a filing date before that of the application(s) of which priority is claimed:

Country	Application Number	Date of Filing	Priority Claimed Under 35 U.S.C. 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Number	Date of Filing
60/470,729	May 14, 2003

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT International application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application(s) and the national or PCT International filing date of this application:

Application Number	Date of Filing	Status (Patented, Pending, Abandoned)
PCT/US2004/014776	May 12, 2004	Completed

I hereby appoint the following attorney and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. **FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., CUSTOMER NUMBER 22,852.**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of First Inventor Han-Jie ZHOU	Inventor's Signature	Date
Residence Foster City, CA		Citizenship Canadian
Residence 280 East Grand Avenue, South San Francisco, CA 94080		
Full Name of Second Inventor Xianping QIAN	Inventor's Signature	Date
Residence Foster City, CA		Citizenship Chinese
Post Office Address 280 East Grand Avenue, South San Francisco, CA 94080		

Full Name of Third Inventor Gustave BERGNES	Inventor's Signature	Date
Residence 280 East Grand Avenue, South San Francisco, CA 94080		Citizenship U.S.A.
Post Office Address 280 East Grand Avenue, South San Francisco, CA 94080		



DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; I believe I am an original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: COMPOUNDS, COMPOSITIONS AND METHODS, the specification of which was filed on October 20, 2005, as United States Application No. 10/553,987.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment. I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT international application(s) designating at least one country other than the United States, listed below and have also identified below, any foreign application(s) for patent or inventor's certificate, or any PCT International application(s) having a filing date before that of the application(s) of which priority is claimed:

Country	Application Number	Date of Filing	Priority Claimed Under 35 U.S.C. 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Number	Date of Filing
60/470,729	May 14, 2003

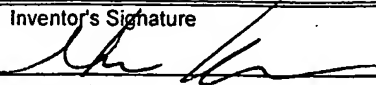
I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT International application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application(s) and the national or PCT International filing date of this application:

Application Number	Date of Filing	Status (Patented, Pending, Abandoned)
PCT/US2004/014776	May 12, 2004	Completed

I hereby appoint the following attorney and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. **FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., CUSTOMER NUMBER 22,852.**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of First Inventor Han-Jie ZHOU	Inventor's Signature	Date
Residence Foster City, CA		Citizenship Canadian
Residence 280 East Grand Avenue, South San Francisco, CA 94080		
Full Name of Second Inventor Xianping QIAN	Inventor's Signature	Date
Residence Foster City, CA		Citizenship Chinese
Post Office Address 280 East Grand Avenue, South San Francisco, CA 94080		

Full Name of Third Inventor Gustave BERGNES	Inventor's Signature 	Date 10/19/06
Residence 280 East Grand Avenue, South San Francisco, CA 94080		Citizenship U.S.A.
Post Office Address 280 East Grand Avenue, South San Francisco, CA 94080		



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/553,987	11/09/2006	1626	980	09367.0057-00000		25	2

CONFIRMATION NO. 8214

22852

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER
LLP901 NEW YORK AVENUE, NW
WASHINGTON, DC 20001-4413

FILING RECEIPT



OC000000021580170

DEC 18 2006

Date Mailed: 12/13/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

~~Xiangping Qian, Foster City, CA;~~ Insert " Han-Tie Zhou, Foster City, CA"
~~Xianping Qian, Foster City, CA;~~ Insert " Xiangping Qian, Foster City, CA"
~~Gustave Bergnes, San Francisco, CA;~~ insert " Gustave Bergnes, Pacifica, CA "

Power of Attorney: The patent practitioners associated with Customer Number 22852.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/14776 05/12/2004
which claims benefit of 60/470,729 05/14/2003

Foreign Applications

If Required, Foreign Filing License Granted: 12/12/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/553,987**

Projected Publication Date: 03/22/2007

Non-Publication Request: No

Early Publication Request: No

nktd 11/12/06

Title

Compounds, compositions, and methods

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).